

## **Data Collection Worksheet**

Please Note: The Data Collection Worksheet (DCW) is a tool to aid integration of a PhenX protocol into a study. The PhenX DCW is not designed to be a data collection instrument. Investigators will need to decide the best way to collect data for the PhenX protocol in their study. Variables captured in the DCW, along with variable names and unique PhenX variable identifiers, are included in the PhenX Data Dictionary (DD) files.

## Section 3A - Tobacco Use

Column a		Column b
people have had with using tobacco, including cigarettes,		b. Did this happen in the last 12 months?
In your ENTIRE LIFE, did you EVER(PAUSE)		
(Repeat phrase frequently)		
(1) More than once want to cut down on your tobacco use?	1 [ ] Yes →	1 [ ] Yes
	2 [ ] No - Go to next experience	2 [ ] No [s3aq8b1]
(2) Give up or cut down on activities	1 [ ] Yes →	1 [ ] Yes
that you were interested in or that gave you pleasure because tobacco use was not permitted at the activity?	2 [] No - Go to next	2 [ ] No
	experience	[s3aq8b2]
(3) Give up or cut down on activities	1 [ ] Yes →	1 [ ] Yes
that were important to you — like associating with friends or relatives	2 [ ] No - Go to next experience	2 [ ] No
or attending social activities -	·	[s3aq8b3]

because tobacco use was not permitted at the activity?		
(4) Continue to use tobacco even though you knew it was causing you a health problem or making a health problem worse?	1 [ ] Yes → 2 [ ] No - Go to next experience	1 [ ] Yes 2 [ ] No [s3aq8b4]
(5) Find yourself (chain smoking/using one pinch or plug of snuff or chewing tobacco right after another)?	1 [ ] Yes → 2 [ ] No - Go to next experience	1 [ ] Yes 2 [ ] No [s3aq8b5]
(6) More than once try to stop or cut down on your tobacco use but found you couldn't do it?		1 [ ] Yes 2 [ ] No [s3aq8b6]
(7) Many people experience problems on occasions when they stop or cut down on their tobaccouse.		
After stopping or cutting down on your tobacco use, did you EVER		
(a) Feel depressed?	1 [ ] Yes → 2 [ ] No - Go to next experience	1 [ ] Yes 2 [ ] No [s3aq8b7a]
(b) Have difficulty falling asleep or staying asleep?	1 [ ] Yes → 2 [ ] No - Go to next experience	1 [ ] Yes 2 [ ] No [s3aq8b7b]
(c) Have difficulty concentrating?	1 [ ] Yes → 2 [ ] No - Go to next experience	1 [ ] Yes 2 [ ] No

	1	F 2 01 7 7
		[s3aq8b7c]
(d) Eat more than usual or gain weight?	1 [ ] Yes →	1 [ ] Yes
	2 [ ] No - Go to next experience	2 [ ] No
		[s3aq8b7d]
(e) Become easily irritated, angry, or frustrated?	1 [ ] Yes →	1 [ ] Yes
	2 [ ] No - Go to next experience	2 [ ] No
	,	[s3aq8b7e]
(f) Feel anxious or nervous?	1 [ ] Yes →	1 [ ] Yes
	2 [ ] No - Go to next experience	2 [ ] No
		[s3aq8b7f]
(g) Feel your heart beating more slowly than usual?	1 [ ] Yes →	1 [ ] Yes
	2 [ ] No - Go to next experience	2 [ ] No
	,	[s3aq8b7g]
(h) Feel more restless than usual?	1 [ ] Yes →	1 [ ] Yes
	2 [ ] No - Go to Check Item 3.4	2 [ ] No
		[s3aq8b7h]
CHECK ITEM 3.4	1 [ ] Yes - <i>Go to 7(i)</i>	
Are at least 2 items marked "Yes" in	2 [ ] No - SKIP to (8)	
column b, 7(a) - 7(h)?		
(i) You just mentioned that you had some experiences after stopping or		1 [ ] Yes
cutting down on your tobacco use in the last 12 months. Were any of these		2 [ ] No
experiences very uncomfortable or upsetting to you or did they cause		[s3aq8b7i]
problems in your life — like at work or school or with family or friends?		
(j) Did you use tobacco in the last 12 months to keep from having any of		1 [ ] Yes
these experiences?		

		2 [ ] No
		[s3aq8b7j]
(8) Wake up in the middle of the	1 [ ] Yes →	1 [ ] Yes
night to use tobacco?	2 [ ] No - Go to next experience	2 [ ] No
	ехреттепсе	[s3aq8b8]
(9) Often use tobacco just after	1 [ ] Yes →	1 [ ] Yes
getting up or shortly after getting up in the morning?	2 [ ] No - Go to next	2 [ ] No
	experience	[s3aq8b9]
(10) Find yourself using tobacco	1 [ ] Yes →	1 [ ] Yes
JUST AFTER being in a situation where tobacco use was not	2 [] No - Go to next	2 [ ] No
permitted - like after being on a plane, at a meeting, or shopping at	experience	[s3aq8b10]
the mall?		
(11) Find that you had to use much	1 [ ] Yes →	1 [ ] Yes
more tobacco than you once did to get the effect you wanted?	2 [ ] No - Go to next	2 [ ] No
get the effect you wanted.	experience	[s3aq8b11]
(12) Increase your use of tobacco by	1 [ ] Yes →	1 [ ] Yes
at least 50 percent?	2 [ ] No - Go to next	2 [ ] No
	experience	[s3aq8b12]
(13) Have a period when you often	1 [ ] Yes →	1 [ ] Yes
used tobacco more than you intended to?	2 [ ] No - Go to next	2 [ ] No
	experience	[s3aq8b13]
(14) Continue to use tobacco even	1 [ ] Yes →	1 [ ] Yes
though it made you nervous, jittery, anxious, or depressed?	2 [ ] No - Go to next	2 [ ] No
	experience	[s3aq8b14]

## **Scoring Procedure and Interpretation**

The scoring algorithms were reverse-engineered and further validated by members of the Substance Abuse and Addiction Scientific Panel and Working Group, and the results from the algorithms were found to match\* the nicotine dependence diagnoses reported in the National Epidemiologic Survey on Alcohol and Related Conditions (NESARC) (Saha et al., 2010; Muthen & Asparouhov, 2006).

The variable names used in the following SAS code for nicotine dependence diagnosis correspond to the variable names shown in blue from the protocol text. The algorithms are derived from published work by NESARC investigators (Grant et al., 2004), and they are provided to assist with derivation of estimates from NESARC.

The SAS code creates NESARC-compatible dichotomous variables (with values 0 = negative and 1 = positive) for past-year nicotine dependence diagnostic criteria (p12tolerance, p12withdrawal\_v2, p12intend, p12quit, p12giveup, p12time, and p12problems) and past-year nicotine dependence diagnosis (dsm4depend\_p12). The nicotine withdrawal algorithm, shown below as p12withdrawal\_v2, may not directly relate to withdrawal based on the *Diagnostic and Statistical Manual of Mental Disorders*, Fourth Edition (*DSM-IV*) (American Psychiatric Association, 1994). All other diagnostic criteria algorithms are directly based on *DSM-IV*. Nicotine withdrawal according to Criterion B of *DSM-IV* (American Psychiatric Association, 1994) may be captured by using p12withdrawal\_dsm alone.

\* For p12tolerance, a 1% difference was noted between the publication (Saha et al., 2010) and the reverse-engineered algorithm results.

## SAS code

```
p12quit = (s3aq8b1=1 or s3aq8b6=1);
p12giveup = (s3aq8b2=1 or s3aq8b3=1);
p12time = (s3aq8b5=1);
p12problems = (s3aq8b4=1 or s3aq8b14=1);
*********Past 12-month dependence diagnosis***;
dsm4depend_total_p12=p12tolerance+p12withdrawal_v2+p12intend+p12quit+p12giveup+p12time+p12problems;
dsm4depend_p12 =(dsm4depend_total_p12 ge 3);
```

Protocol source: <a href="https://www.phenxtoolkit.org/protocols/view/510403">https://www.phenxtoolkit.org/protocols/view/510403</a>